



Chapter 13 IPsec



IPsec (IP Security)

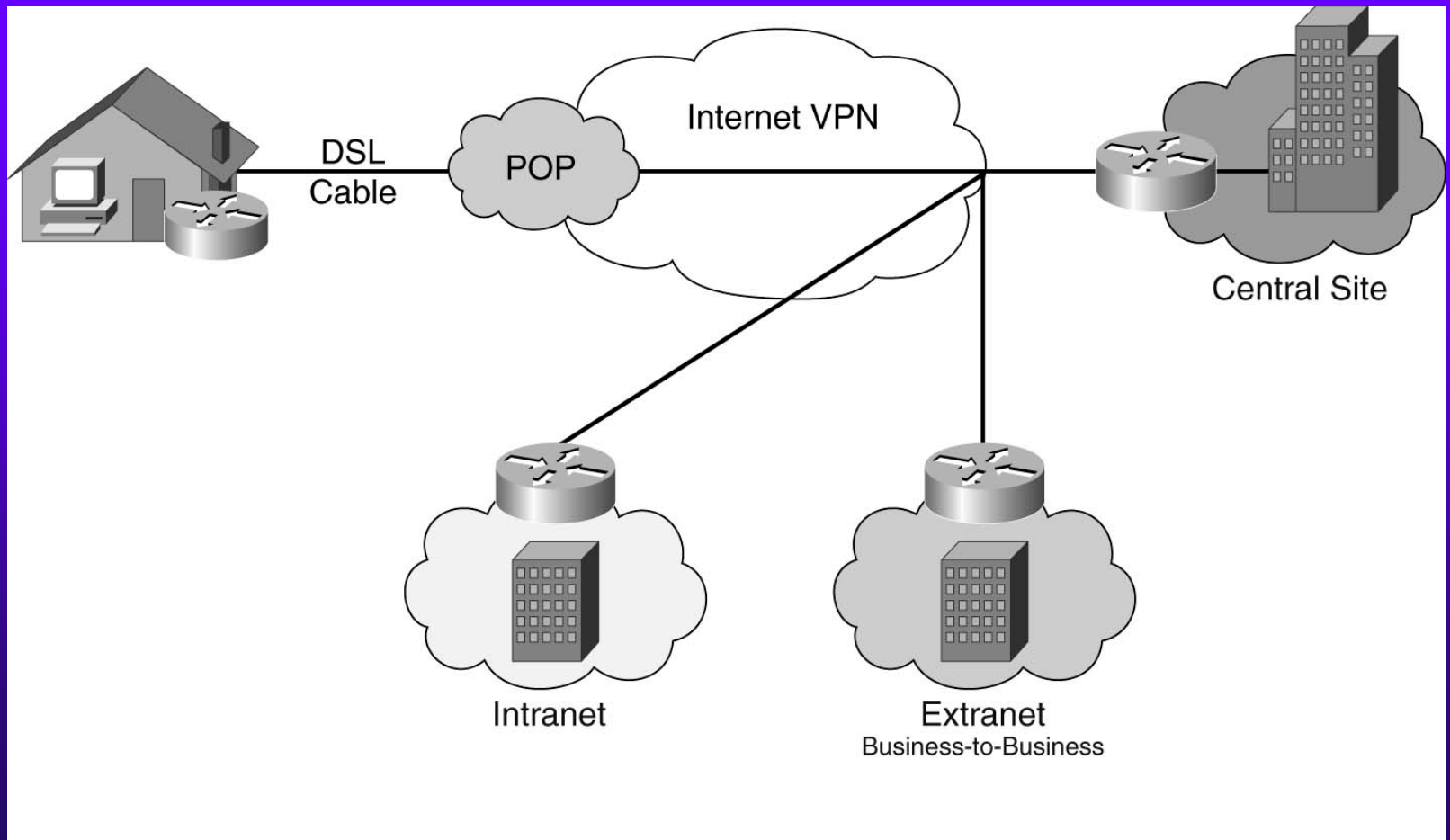
- ◆ A collection of protocols used to create VPNs
- ◆ A network layer security protocol providing cryptographic security services that can support various combinations of authentication, integrity, access control, and confidentiality
- ◆ Allows creation of an encrypted tunnel between two private networks
- ◆ Supports authentication of the two ends of the tunnel
- ◆ Cannot directly encrypt non-IP traffic
- ◆ Can encrypt GRE tunnel containing non-IP data
- ◆ Comprises of IKE, ESP, and AH



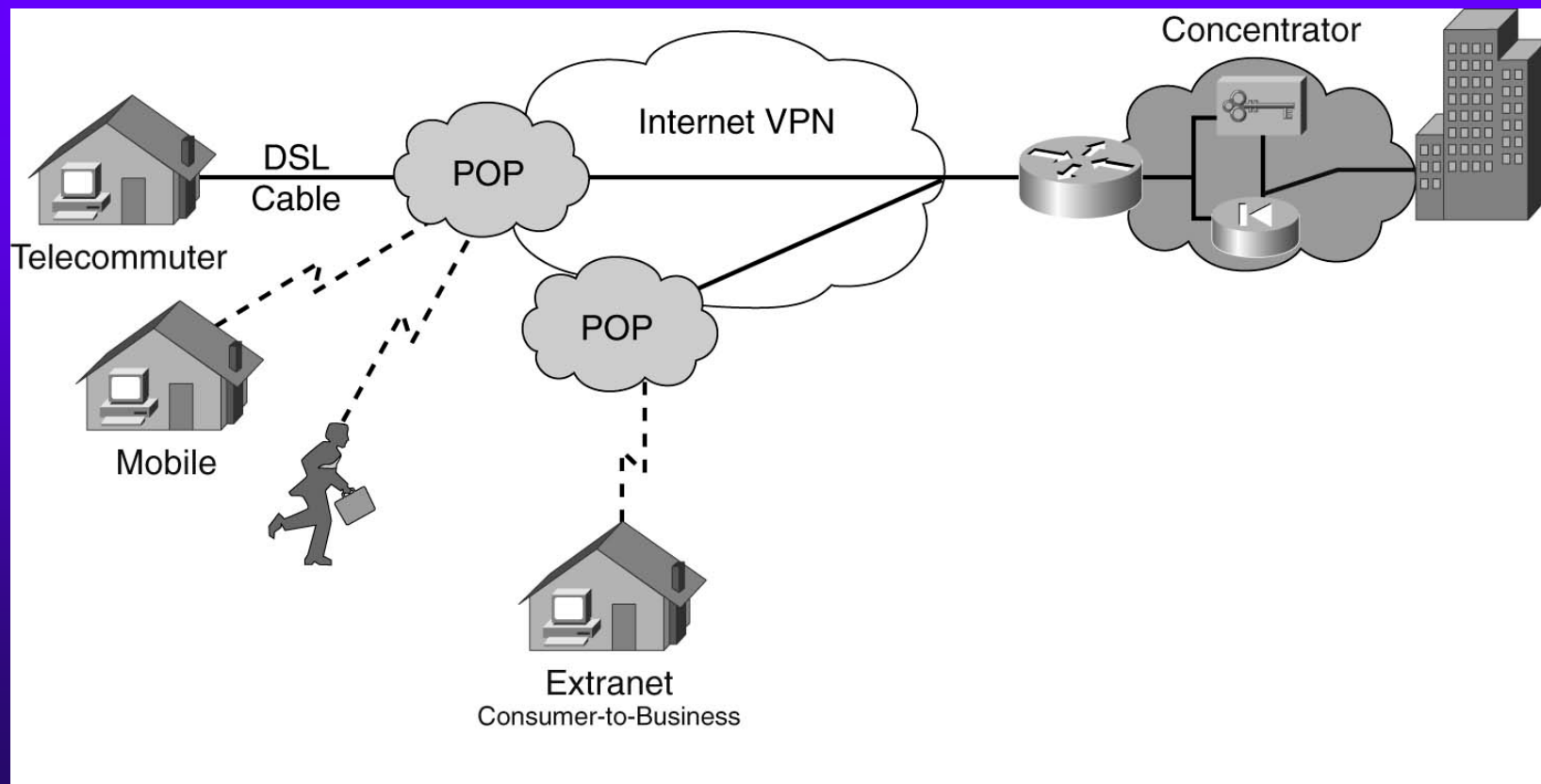
Types of IPsec VPNs

- ◆ LAN-to-LAN or site-to-site
 - Used to connect two private networks to form one combined virtual private network
- ◆ Remote-access client IPsec
 - Used to allow road warriors to be part of the trusted network

LAN-to-LAN and Site-to-Site IPsec



Remote-Access IPsec





IPsec Protocol Suite

- ◆ Internet Key Exchange (IKE) protocol
 - For negotiating security parameters and establishing authenticated keys
 - Uses UDP port 500 for ISAKMP
- ◆ Encapsulating Security Payload (ESP) protocol
 - For encrypting, authenticating, and securing data
 - IP protocol 50
- ◆ Authentication Header (AH) protocol
 - For authenticating and securing data
 - IP protocol 51



IKE's Responsibilities in IPsec Protocol

- ◆ Negotiates IPsec tunnel characteristics between two IPsec peers
- ◆ Negotiates IPsec protocol parameters
- ◆ Exchanges public keys
- ◆ Authenticates both sides
- ◆ Manages keys after the exchange
- ◆ Automates entire key-exchange process

Composition of IKE

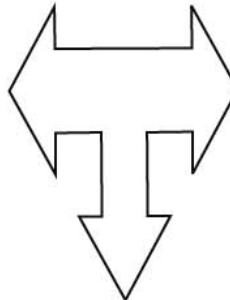
IKE (Internet Key Exchange) (RFC 2409)
Is a Hybrid Protocol

SKEME

Mechanism for Utilizing
Public Key Encryption for Authentication

Oakley

Modes Based Mechanism for
Arriving At an Encryption Key
Between Two Peers



ISAKMP

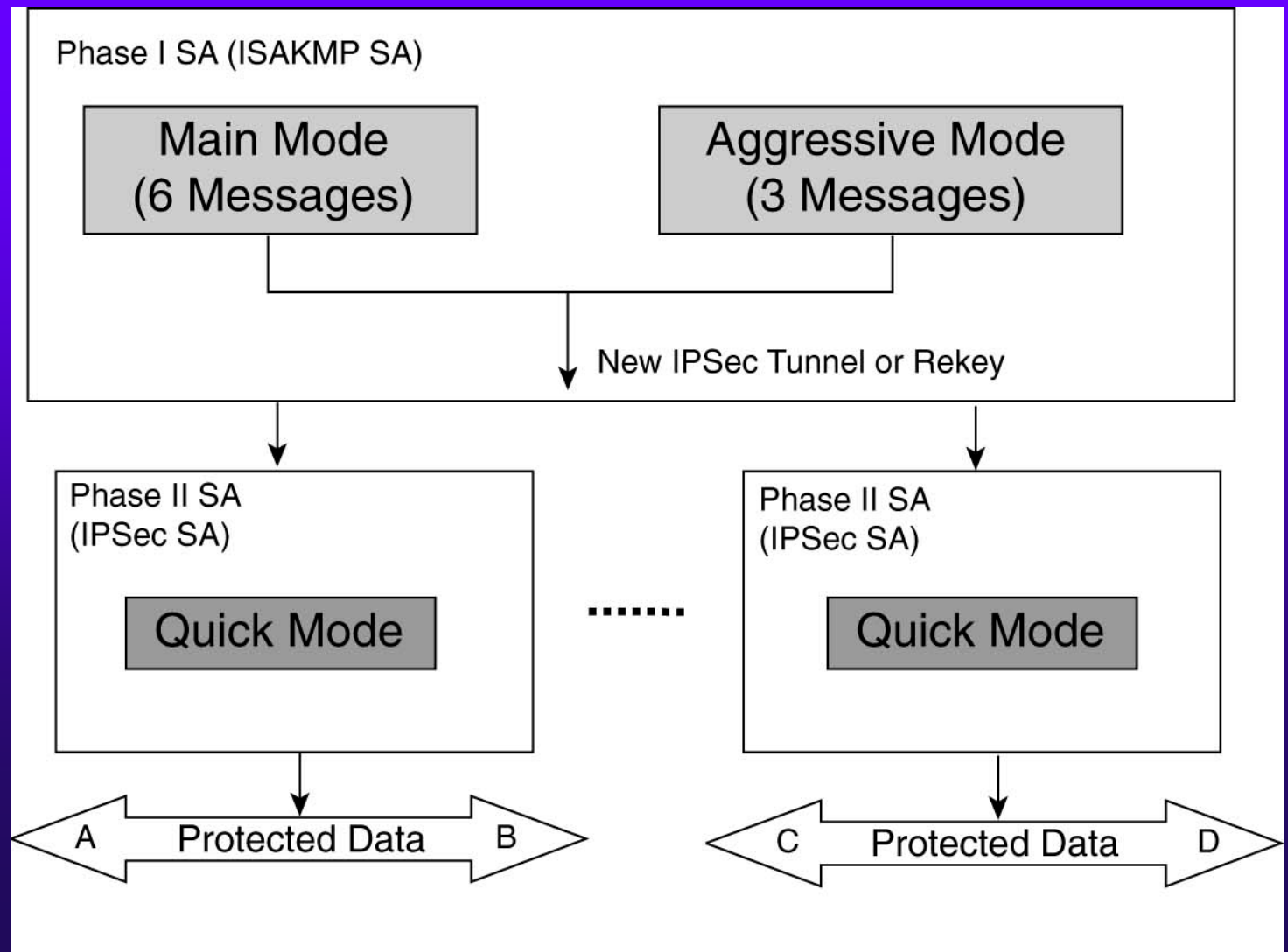
Architecture for Message Exchange
Including Packet Formats
and State Transitions Between
Two Peers

IPsec Tunnel Creation using IKE

- ◆ Identify interesting traffic by an IPsec peer that has been configured to initiate an IPsec session for this traffic
- ◆ IPsec peers negotiate a secure authenticated communication channel using main mode or aggressive mode negotiation, resulting in creation of an IKE Security Association (SA) between the two IPsec peers (IKE phase I)
- ◆ Create two IPsec SAs between the two IPsec peers via IKE quick mode negotiation (IKE phase II)
- ◆ Send data over encrypted tunnel using ESP and/or AH encapsulation



IKE Main Mode, Aggressive Mode, and Quick Mode



Goals of Main Mode and Aggressive Mode

- ◆ Agreeing on a set of parameters that are to be used to authenticate the two peers
- ◆ Agreeing on parameters used to encrypt a portion of the main mode and all of the quick mode messages
- ◆ None of the aggressive mode messages are encrypted
- ◆ Authenticate the two peers to each other
- ◆ Generate keys used to generate keying material for subsequent encryption of data
- ◆ All of the parameters negotiated and the keys used to generate keys for encryption are stored as IKE or ISAKMP security association (SA)





Types of Negotiations by IKE

- ◆ Main mode using preshared key authentication followed by quick mode negotiation
- ◆ Main mode using digital signature authentication followed by quick mode negotiation
- ◆ Aggressive mode using preshared key authentication followed by quick mode negotiation
- ◆ Main mode using nonces authentication followed by quick mode negotiation
- ◆ Aggressive mode using digital signature authentication followed by quick mode negotiation



Goals of Quick Mode

- ◆ To have two peers agree on a set of attributes for creating the IPsec security associations that could be used by ESP to encrypt the data
- ◆ To redo Diffie-Hellman (DH) exchange so that new keying material can be used to generate IPsec encryption keys

IKE Main Mode Message 1 using preshared key authentication

The Initiator Proposes a Set of Attributes to Base the SA on

Initiator



Responder

Initiator Cookie (Calculated and Inserted Here)			
Responder Cookie (Left 0 for Now)			
SA	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	1	SA Payload Length	
SA Payload (Includes DOI and Situation)			
Next Payload	1	Proposal Payload Length	
Proposal Payload			
Next Payload	1	Transform Payload Length	
Transform Payload			
Next Payload	1	Proposal Payload Length	
Proposal Payload			
0	1	Transform Payload Length	
Transform Payload			

DOI Identifies
the Exchange to Be
Occurring to Setup IPsec

SPI = 0 for All Phase 1 Messages.
Includes Proposal #,
Protocol ID, SPI Size,
of Transforms, SPI

Includes Transform #,
Transform ID, SA Attributes.
For Example, DES, MD5,
DH 1, Pre-share

IKE Main Mode Message 2

The Responder Sends Back the One Set of Attributes Acceptable to it

Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Calculated and Inserted Here)			
SA	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	1	SA Payload Length	
SA Payload (Includes DOI and Situation)			
Next	1	Proposal Payload Length	
Proposal Payload (Includes Proposal #, Protocol ID, SPI Size, # of transforms, SPI)			
0	1	Transform Payload Length	
Transform Payload (Includes Transform #, Transform ID, SA Attributes)			

DOI Identifies the Exchange to Be Occurring to Setup IPsec

PROTO_ISAKMP,
SPI = 0 for All Phase 1 Messages

KEY_OAKLEY = Type
DES, MD5, DH 1
Pre-share



Diffie-Hellman Algorithm

- ◆ Used in IKE by two peers to generate a shared DH secret and to generate keying material for later use
- ◆ DH secret also used with preshared secret to authenticate two peers to each other



Diffie-Hellman Algorithm (cont.)

- ◆ There exists X_a such that $X_a = g^a \bmod p$ where g is the generator, p is a large prime number, and a is a private secret known only to the initiator
- ◆ There exists X_b such that $X_b = g^b \bmod p$ where g is the generator, p is a large prime number, and b is a private secret known only to the responder
- ◆ Initiator and responder can generate a shared secret known only to the two of them by exchanging the values X_a and X_b with each other
- ◆ Initiator secret = $(X_b)^a \bmod p = (X_a)^b \bmod p =$
responder secret = g^{ab}

IKE Main Mode Message 3

The Initiator Sends Its DH Public Value X_a and Nonce N_i

Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
Next Payload	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	0	KE Payload Length	
KE Payload (Includes DH Public Value)			
0	0	Nonce Payload Length	
Nonce Payload (Includes Nonce)			

DH Public Value = X_a

Nonce = N_i

IKE Main Mode Message 4

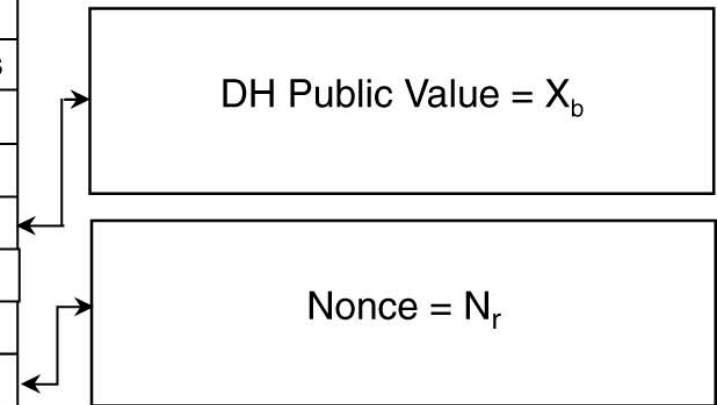
The Responder Sends Its DH Public Value X_b and Nonce N_r

Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
Next Payload	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	0	KE Payload Length	
KE Payload (Includes DH Public Value)			
0	0	Nonce Payload Length	
Nonce Payload (Includes Nonce)			



Session Keys Generated by the Initiator

Calculation of Three Keys (Initiator)

SKEYID_d — Used to Calculate Subsequent IPsec Keying Material

SKEYID_a — Used to Provide Data Integrity and Authentication to IKE Messages

SKEYID_e — Used to Encrypt IKE Messages

PRF = A Pseudo
Random Function Based
on the Negotiated Hash

$SKEYID = PRF(\text{Pre-shared Key}, N_i \parallel N_r)$

$SKEYID_d = PRF(SKEYID, g^{ab} \parallel CKY-I \parallel CKY-R \parallel 0)$

$SKEYID_a = PRF(SKEYID, SKEYID_d \parallel g^{ab} \parallel CKY-I \parallel CKY-R \parallel 1)$

$SKEYID_e = PRF(SKEYID, SKEYID_a \parallel g^{ab} \parallel CKY-I \parallel CKY-R \parallel 2)$

Session Keys Generated by the Responder

Calculation of Three Keys (Responder)

SKEYID_d — Used to Calculate Subsequent IPSec Keying Material

SKEYID_a — Used to Provide Data Integrity and Authentication to IKE Messages

SKEYID_e — Used to Encrypt IKE Messages

$$\text{SKEYID} = \text{PRF}(\text{Pre-shared Key}, N_i \parallel N_r)$$



$$\text{SKEYID}_d = \text{PRF}(\text{SKEYID}, g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 0)$$

$$\text{SKEYID}_a = \text{PRF}(\text{SKEYID}, \text{SKEYID}_d \parallel g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 1)$$

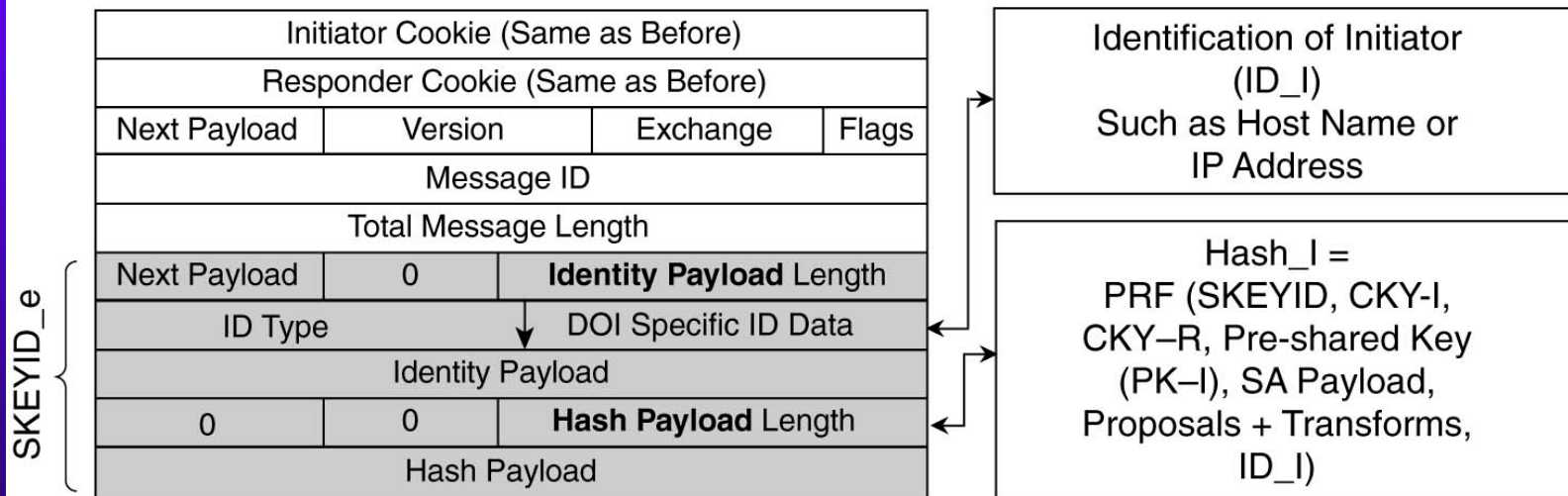
$$\text{SKEYID}_e = \text{PRF}(\text{SKEYID}, \text{SKEYID}_a \parallel g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 2)$$

IKE Main Mode Message 5

The Initiator Sends Its Authentication Material and ID

Initiator

Responder



- Hash payload and ID_I are used by responder to authenticate initiator
- Identity and hash payloads are encrypted using skeyid_e

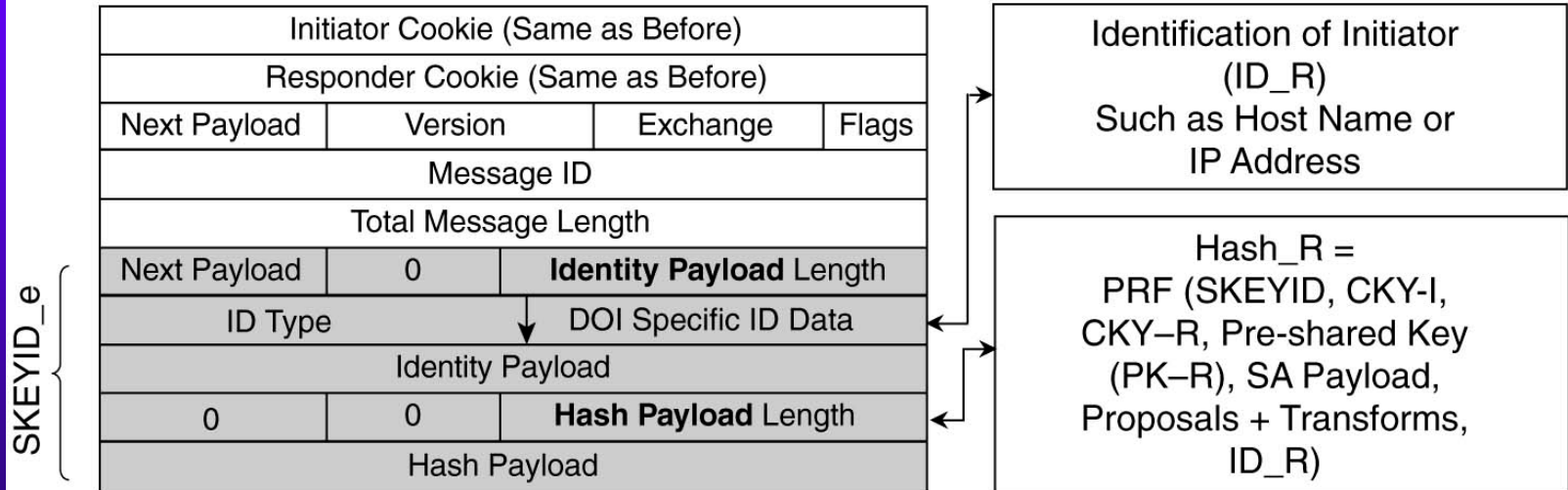
IKE Main Mode Message 6

The Responder Sends Its Authentication Material and ID

Initiator



Responder



- Hash payload and ID_R are used by initiator to authenticate responder
- Identity and hash payloads are encrypted using skeyid_e



Completion of IKE Phase I (Main Mode) using Preshared Key

- ◆ IKE SA established
- ◆ Main mode using preshared key authentication completed
- ◆ Quick mode will be used to negotiate parameters of IPsec SA



IKE Phase 2 (Quick Mode)

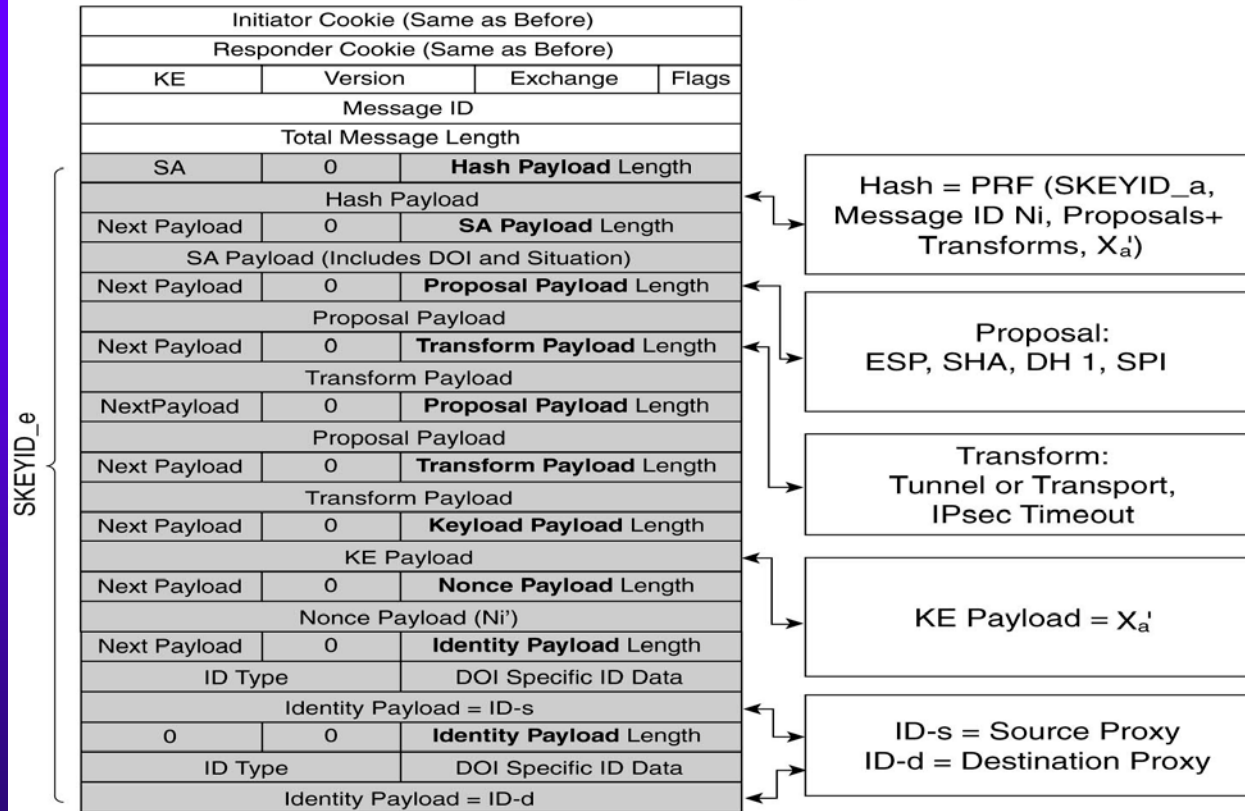
- ◆ Negotiate parameters of IPsec SA
- ◆ Perfect Forward Secrecy (PFS) may be used by initiator to request that a new DH secret be generated over an encrypted channel
 - New nonces generated: $N_i^{'}$ and $N_r^{'}$
 - New DH public values:
 - $X_a^{'}=g^a \bmod p$
 - $X_b^{'}=g^b \bmod p$

IKE Quick Mode Message 1

The Initiator Sends Authentication/Keying Material and Proposes a Set of Attributes to Base the SA On

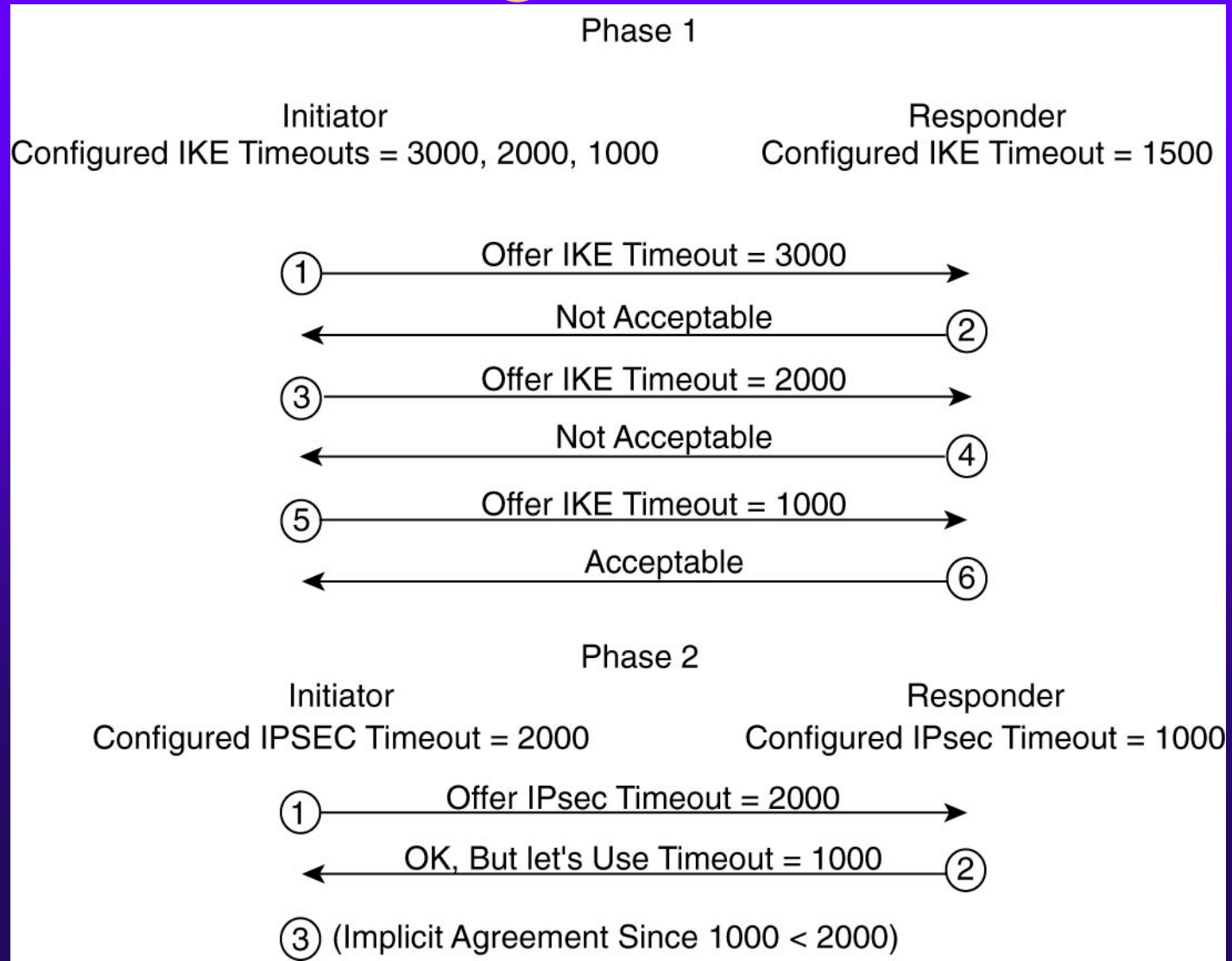
Initiator

Responder



- Hash used for reauthentication
- proposal and transform suggests ESP or AH encapsulation type, SHA or MD5 integrity checking, DH group, and tunnel or transport mode
- Key exchange payload used for generating new DH secret

IKE and IPsec Lifetime Negotiation



IKE Quick Mode Message 2

The Responder Sends Authentication/Keying Material and Accepts a Set of Attributes to Base the SA On

Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
KE	Version	Exchange	Flags
Message ID			
Total Message Length			
SA	0	Hash Payload Length	
Hash Payload			
Proposal	0	SA Payload Length	
SA Payload (Includes DOI and Situation)			
Transform	0	Transform Payload Length	
Proposal Payload (Accepted Proposal)			
Proposal	0	Proposal Payload Length	
Transform Payload (Accepted Transform)			
Nonce	0	KE Payload Length	
KE Payload			
ID	0	Nonce Payload Length	
Nonce Payload (Nr')			
ID	0	Identity Payload Length	
ID Type		DOI Specific ID Data	
Identity Payload = ID-s (Generally similar to ID-d for initiator)			
0	0	Identity Payload Length	
ID Type		DOI Specific ID Data	
Identity Payload = ID-d (Generally similar to ID-s for initiator)			

SKEYID_e

Hash =
PRF (SKEYID_a, Message ID
Ni', Nr', Accepted Proposal +
Transform, X_b'

Proposal:
With Responder's SPI

KE Payload = X_b'



Generation of IPsec Keying Material

- ◆ Both peers generate new DH shared secret = $(X_b')^a \bmod p = (X_a')^b \bmod p$
- ◆ Both peers generate shared session keys for incoming and outgoing IPsec SAs based on SKEYID_d, new DH shared secret, SPI, and N_i' and N_r'

IKE Quick Mode Message 3

The Initiator Sends Across a Proof of Liveness

Initiator



Responder

SKEYID_e {

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
KE	Version	Exchange	Flags
Message ID			
Total Message Length			
0	0	Hash Payload Length	
Hash Payload			

Hash =
PRF (SKEYID_a, Message ID,
Ni', Nr')





Main Mode Using Digital Signature Authentication followed by Quick Mode Negotiation

Session Keys Generated by the Initiator in Digital Signatures Method of Main Mode Negotiation

Calculation of Three Keys (Initiator)

SKEYID_d — Used to Calculate Subsequent IPsec Keying Material

SKEYID_a — Used to Provide Data Integrity and Authentication to IKE Messages

SKEYID_e — Used to Encrypt IKE Messages

PRF = A Pseudo
Random Function Based
on the Negotiated Hash

$$\text{SKEYID} = \text{PRF}(\text{Pre-shared Key}, N_i \parallel N_r)$$

$$\text{SKEYID}_d = \text{PRF}(\text{SKEYID}, g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 0)$$

$$\text{SKEYID}_a = \text{PRF}(\text{SKEYID}, \text{SKEYID}_d \parallel g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 1)$$

$$\text{SKEYID}_e = \text{PRF}(\text{SKEYID}, \text{SKEYID}_a \parallel g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 2)$$



Session Keys Generated by the Responder in Digital Signatures Method of Main Mode Negotiation

Calculation of Three Keys (Responder)

SKEYID_d — Used to Calculate Subsequent IPsec Keying Material

SKEYID_a — Used to Provide Data Integrity and Authentication to IKE Messages

SKEYID_e — Used to Encrypt IKE Messages

$$\text{SKEYID} = \text{PRF} (N_i \parallel N_r \parallel g^{ab})$$



$$\text{SKEYID}_d = \text{PRF} (\text{SKEYID}, g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 0)$$

$$\text{SKEYID}_a = \text{PRF} (\text{SKEYID}, \text{SKEYID}_d \parallel g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 1)$$

$$\text{SKEYID}_e = \text{PRF} (\text{SKEYID}, \text{SKEYID}_a \parallel g^{ab} \parallel \text{CKY-I} \parallel \text{CKY-R} \parallel 2)$$

IKE Main Mode Message 5 (using Digital Signatures)

The Initiator Sends Its Authentication Material and ID

Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
KE	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	0	Identity Payload Length	
ID Type		DOI Specific ID Data	
Identity Payload			
Next Payload	0	Signature Payload Length	
Signature Data			
0	0	Certificate Payload Length	
Certificate Encoding	Certificate Data		
Certificate Data			

Identification of Responder
(ID_I)
Such as Host Name or
IP Address

Signature =
Hash_I encrypted with Priv_I =
Priv_I {PRF (SKEYID, CKY-I,
CKY-R, SA Payload,
Proposals + Transforms,
ID_I)}

IKE Main Mode Message 6 (using Digital Signatures)

The Responder Sends Its Authentication Material and ID

Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
KE	Version	Exchange	Flags
Message ID			
Total Message Length			
Next Payload	0	Identity Payload Length	
ID Type		DOI Specific ID Data	
Identity Payload			
Next Payload	0	Signature Payload Length	
Signature Data			
0	0	Certificate Payload Length	
Certificate Encoding		Certificate Data	
Certificate Data			

Identification of Responder
(ID_R)
Such as Host Name or
IP Address

Signature =
Hash_I encrypted with Priv_R =
Priv_R {PRF (SKEYID, CKY-I,
CKY-R, SA Payload,
Proposals + Transforms,
ID_R)}



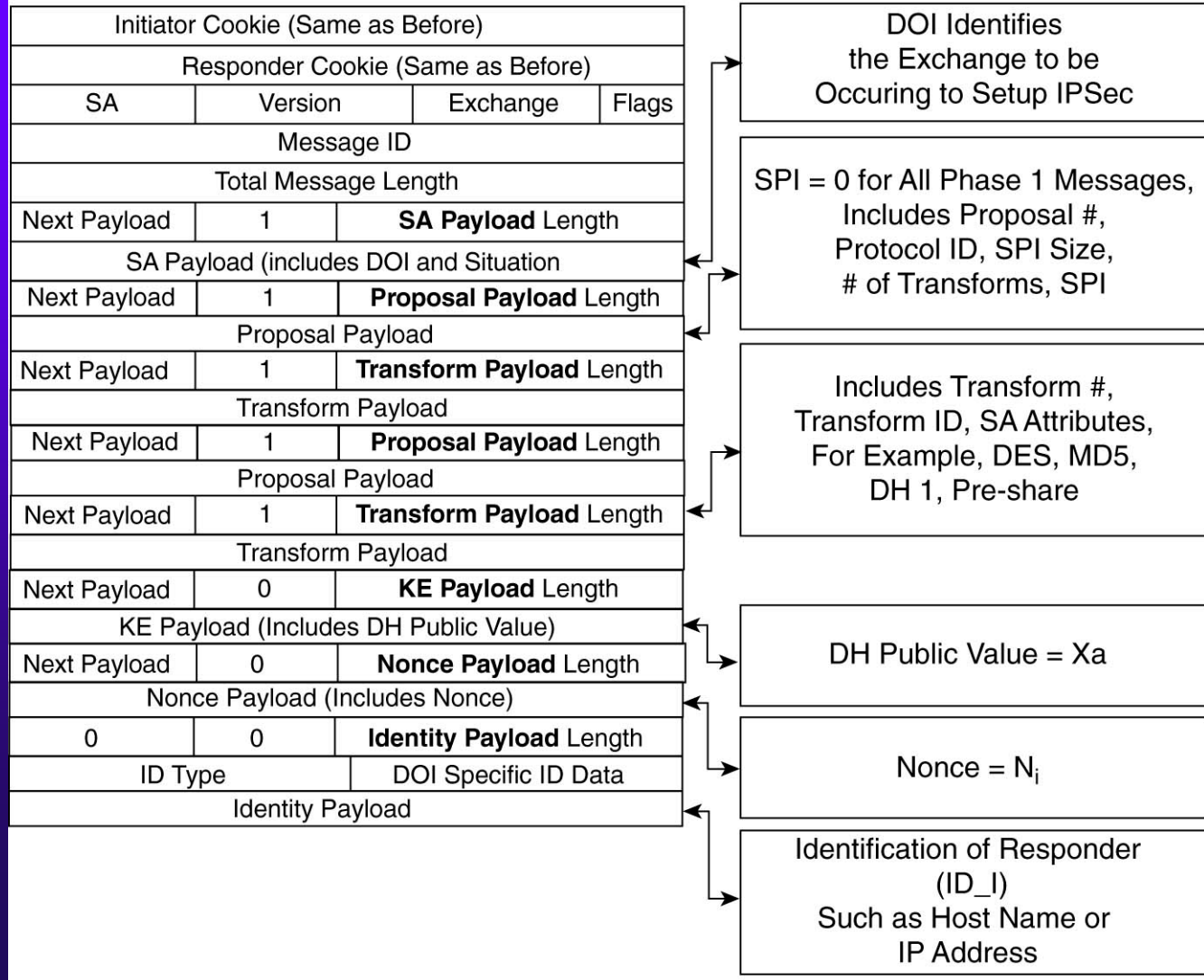
Aggressive Mode of IKE Phase 1 using Preshared Key Authentication

IKE Aggressive Mode Message 1

The Initiator Proposes a Set of Attributes, ID, Nonce and DH Public Value

Initiator

Responder



IKE Aggressive Mode Message 2

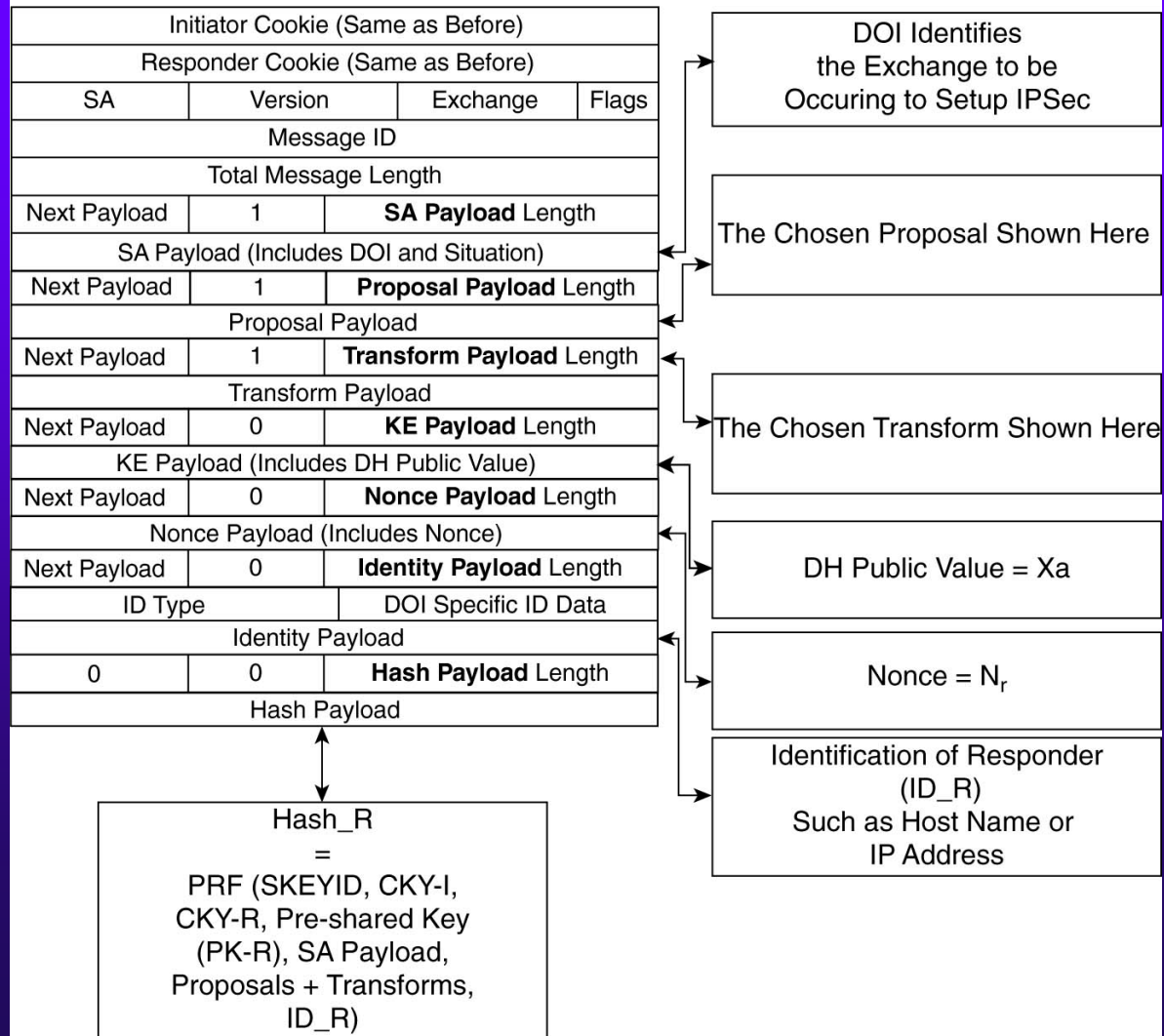


The Responder Sends Back Accepted Attributes, ID, Nonce and DH Public Value, and Authentication Hash

Initiator



Responder



IKE Aggressive Mode Message 3

The Initiator Sends the Authentication Hash


Initiator



Responder

Initiator Cookie (Same as Before)			
Responder Cookie (Same as Before)			
Hash	Version	Exchange	Flags
Message ID			
Total Message Length			
0	0	Hash Payload Length	
Hash Payload			

Hash_I =
PRF (SKEYID, CKY-I,
CKY-I, Pre-shared Key
(PK-I), SA Payload,
Proposals + Transforms,
ID_I)



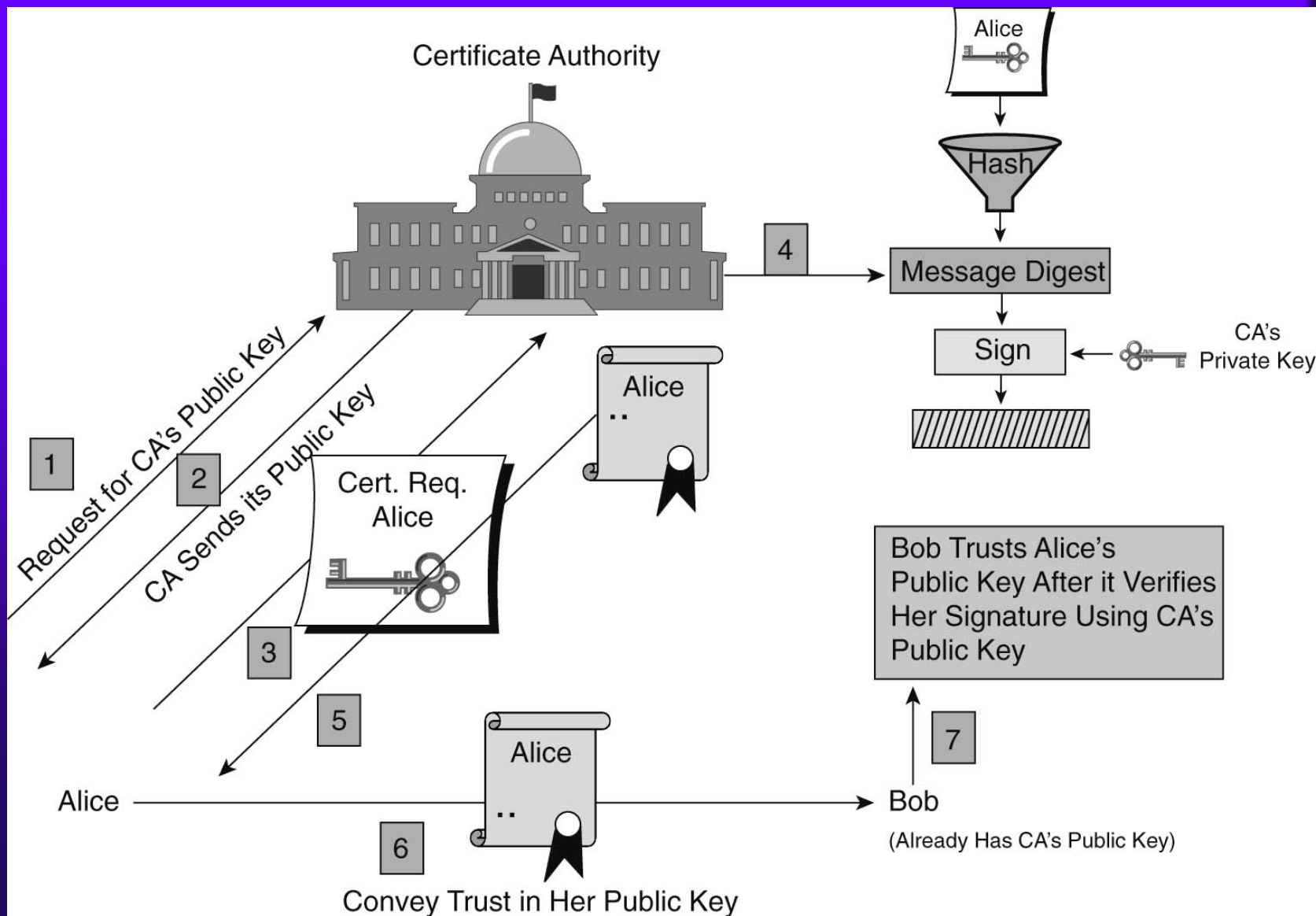
IKE Device Authentication Methods

- ◆ Preshared keys
- ◆ Digital signatures
- ◆ Encrypted nonces

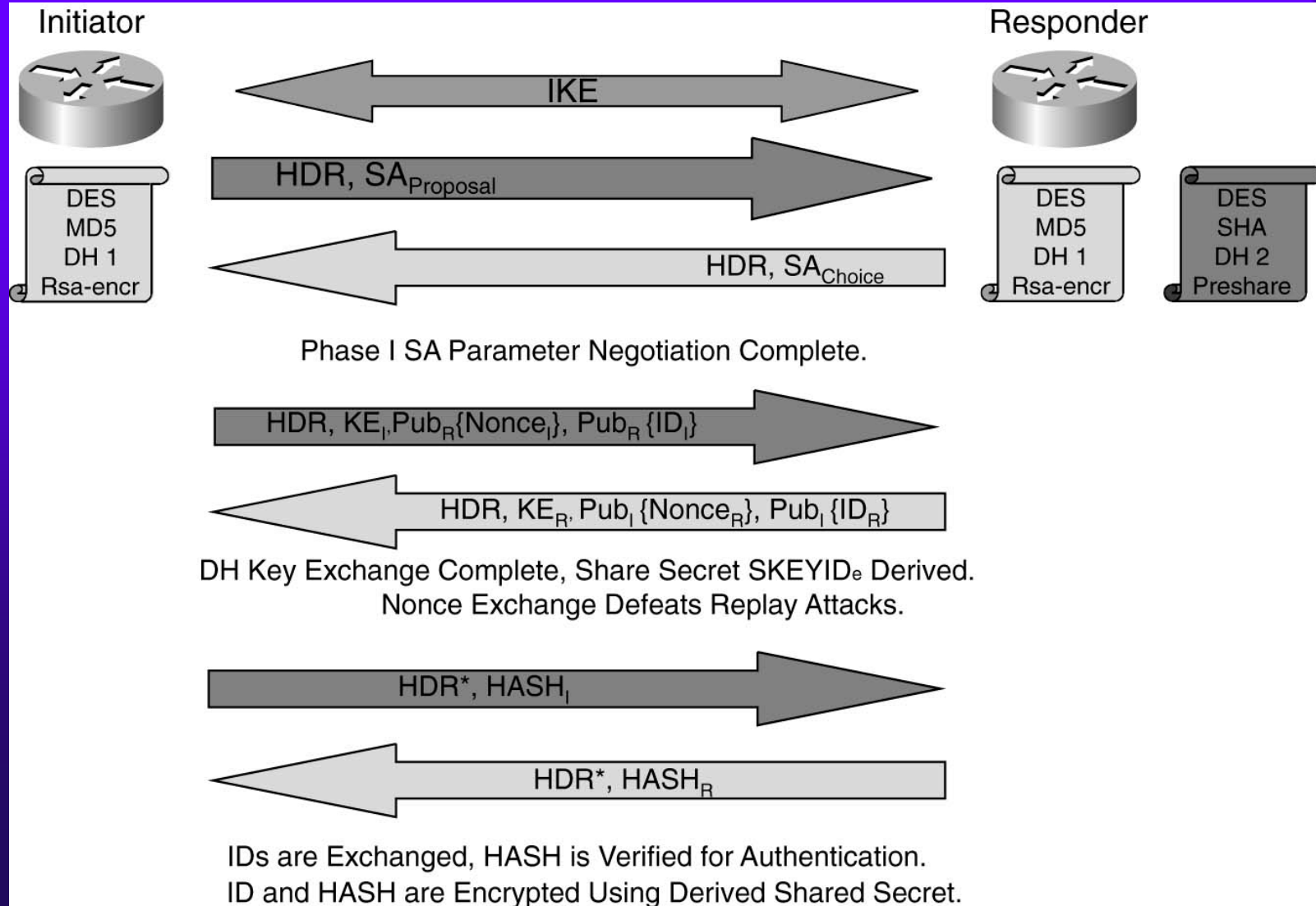
Contents of a Digital Certificate

Version		
Serial Number		
Signature Algorithm ID		← Signing Algorithm e.g. SHA1 with RSA
Issuer (CA) X.500 Name		← CA's Identity
Validity Period		← Lifetime of this Cert
Subject X.500 Name		← User's Identity e.g. cn, ou, o
Subject Public Key Info	Algorithm ID	← User's Public Key (Bound to User's Subject Name)
	Public Key Value	
Issuer Unique ID		
Subject Unique ID		
Extension		← Other User information e.g. SubAltName, CDP
CA Digital Signature		← Signed by CA's Private Key

Using Digital Certificates



IKE Main Mode Using Encrypted Nonces

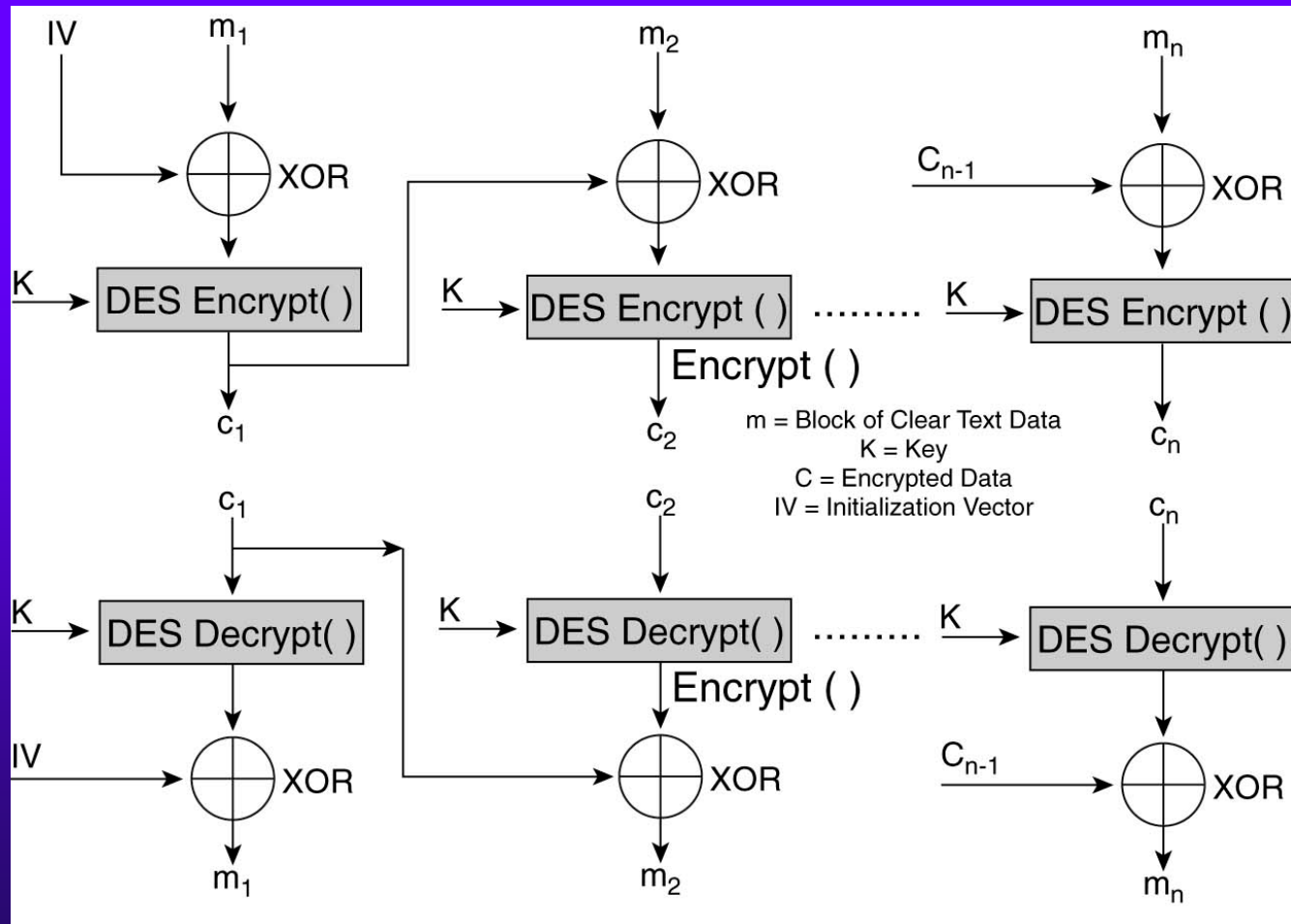




Encryption Methods in IPsec

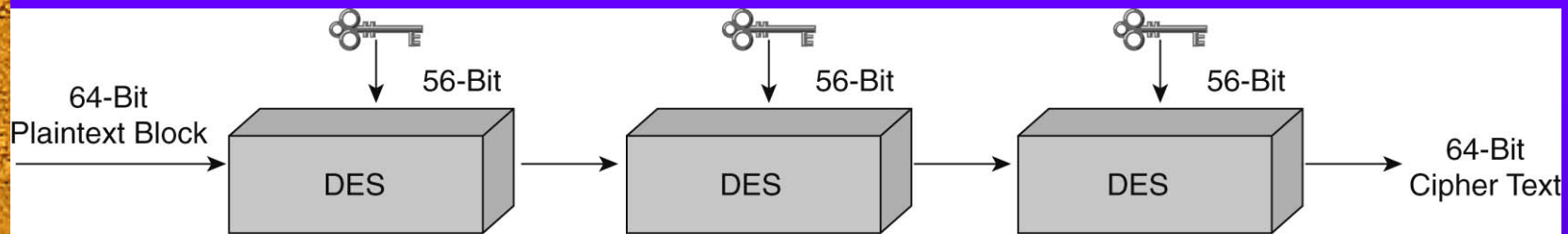
- ◆ Data Encryption Standard (DES)
- ◆ Triple DES (3DES)

DES Encryption using Cipher Block Chaining (CBC)



- Cipher block: DES encryption algorithm converting fixed-length message into cipher text of same length
- block size of DES is 64 bits while key length is 56 bits
- Initialization vector is sent in ESP header

3DES Encryption

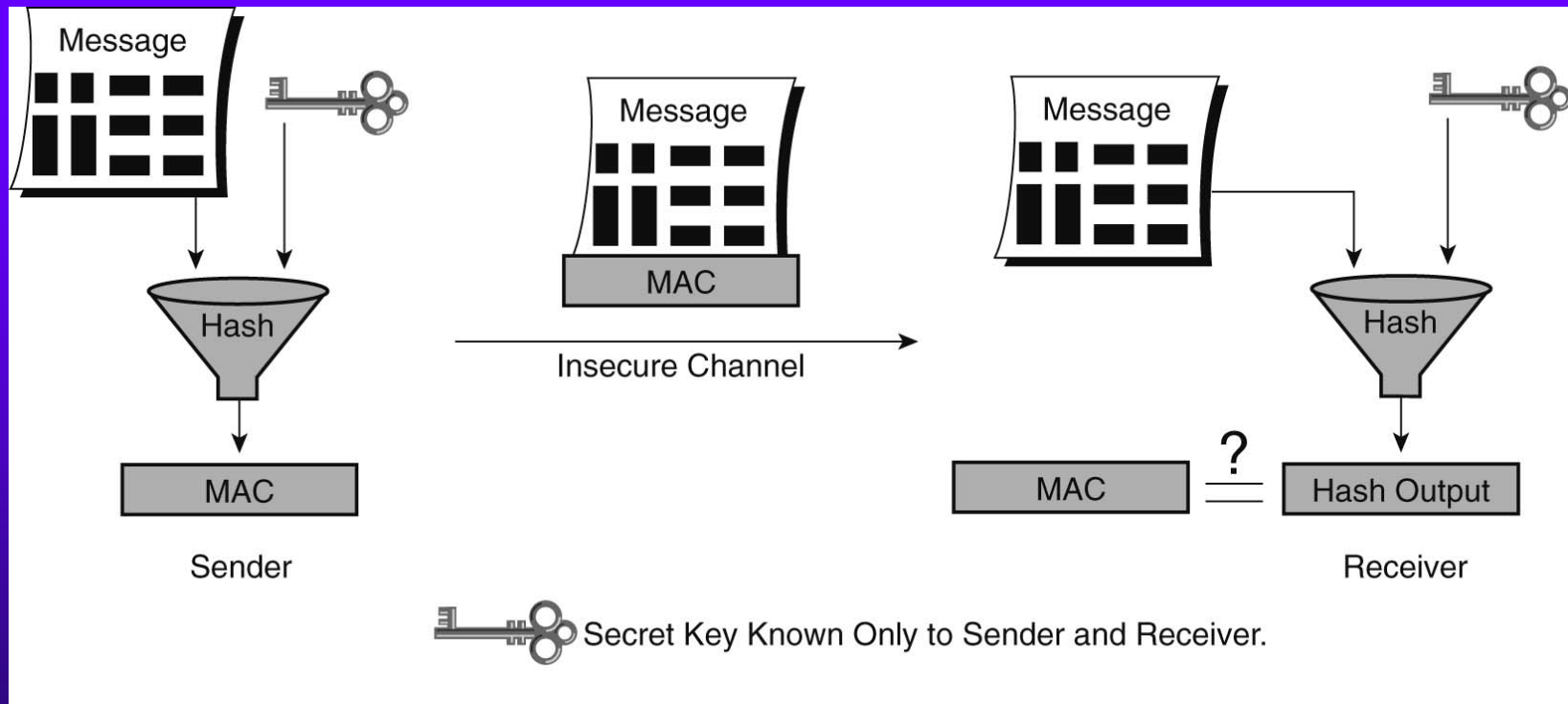


Overall key length is 168 bits

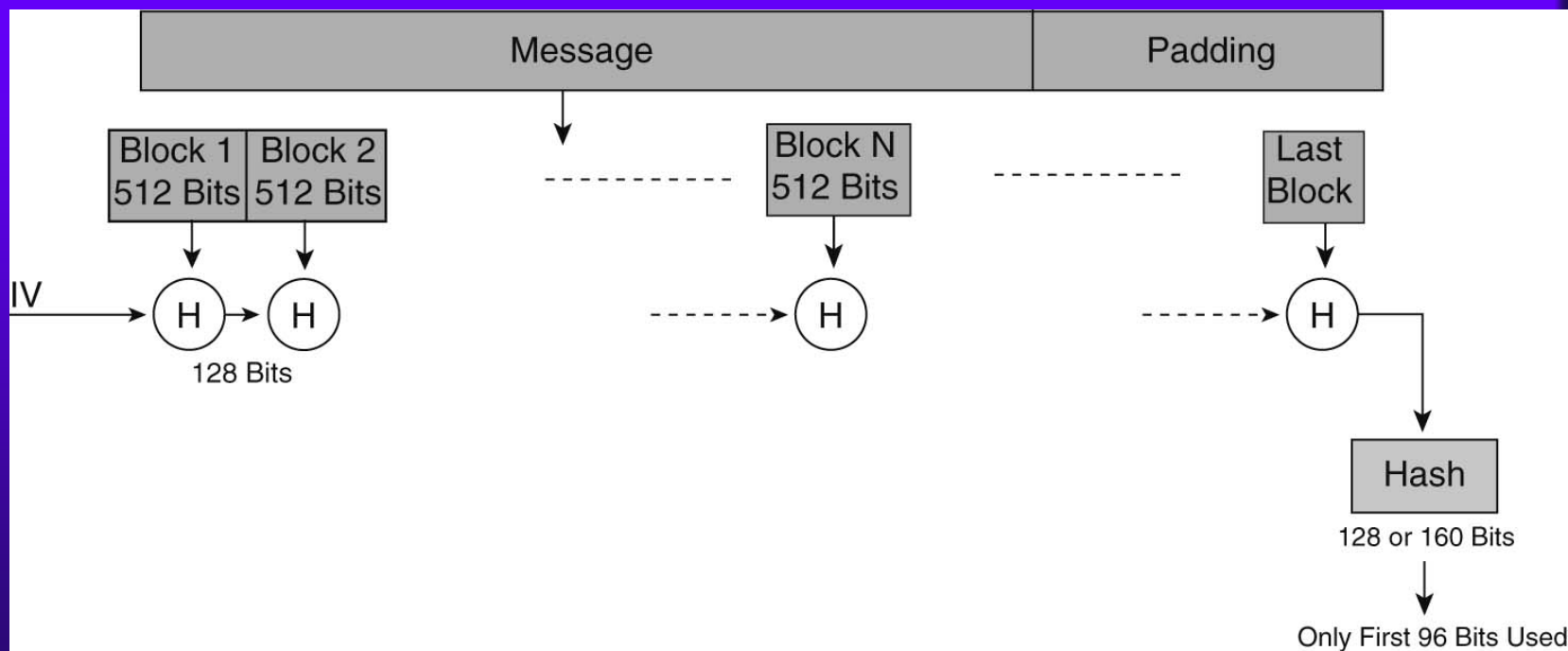


Integrity Checking Mechanism in IPsec

Integrity Checking Using Hashes



Use of Hashes in ESP and AH




MD5 or SHA hashes are truncated to 96 bits



Packet Encapsulation in IPsec

- ◆ Transport mode
- ◆ Tunnel mode



Packet Format Using AH in Tunnel and Transport Modes

Transport Mode

Original IP Header	TCP/UDP	Data
--------------------	---------	------

Original IP Header	AH	TCP/UDP	Data
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← Authenticated Except Mutable Field →

Tunnel Mode

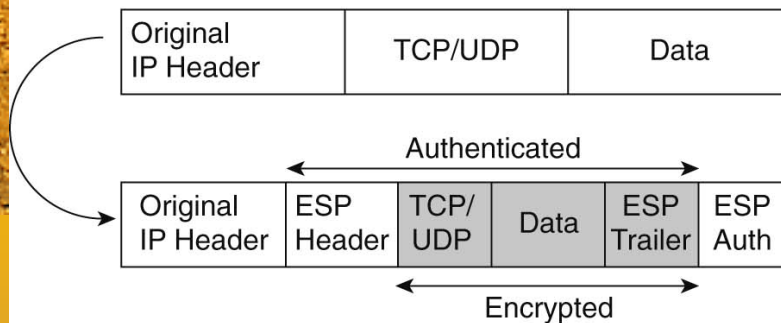
Original IP Header	TCP/UDP	Data
--------------------	---------	------

New IP Header	AH	Original IP Header	TCP/UDP	Data
---------------	----	--------------------	---------	------

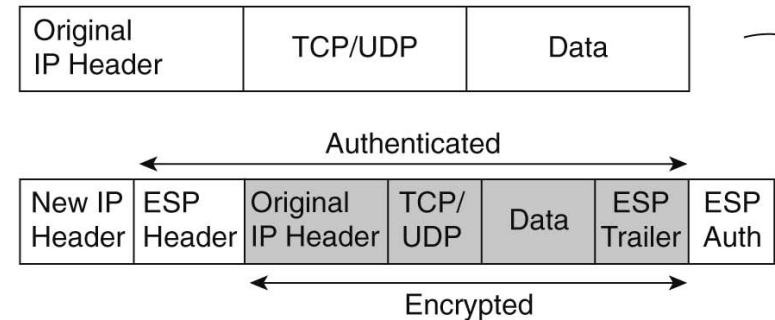
← Authenticated Except Mutable Field in New IP Header →

Packet Format Using ESP in Tunnel and Transport Modes

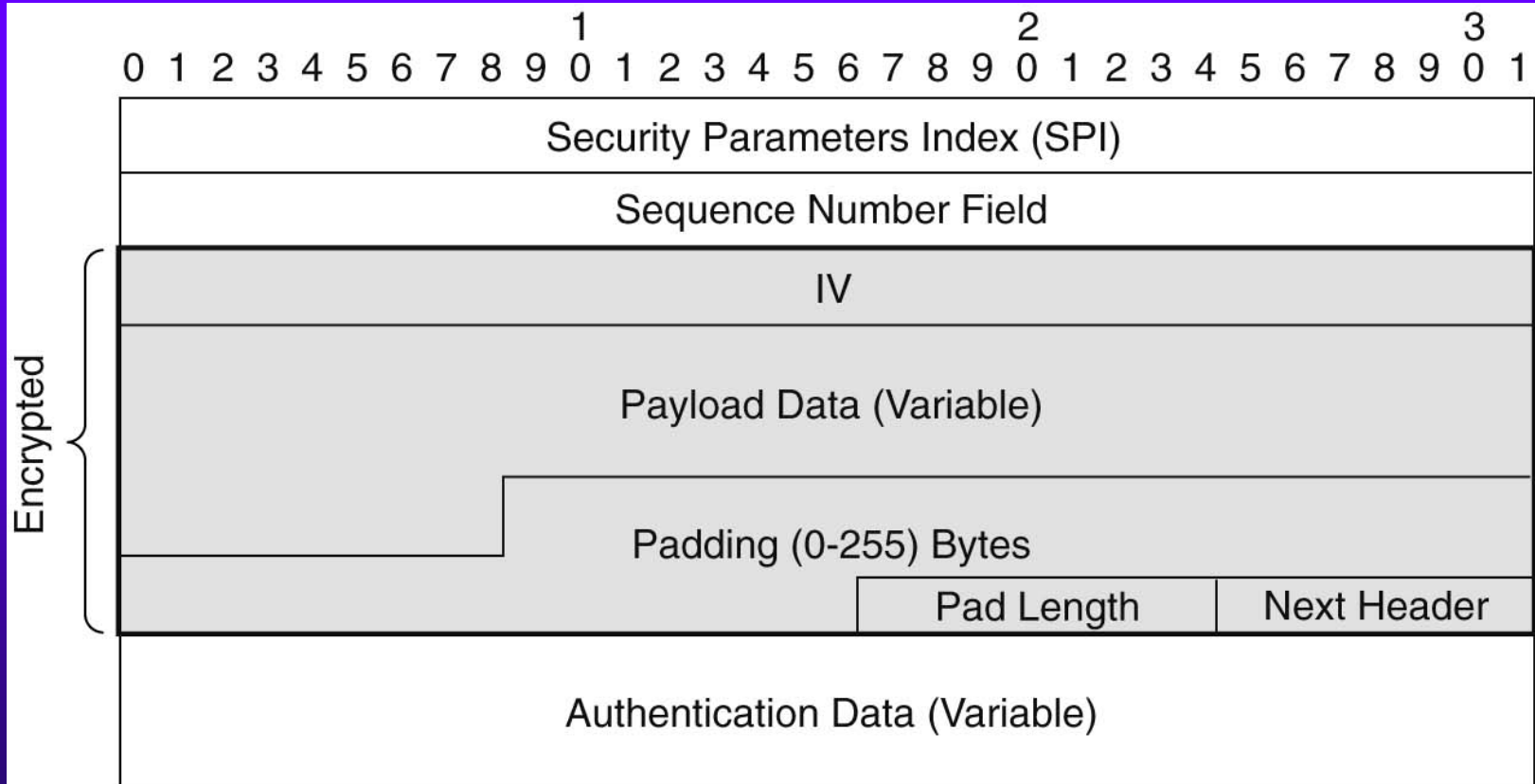
Transport Mode



Tunnel Mode



ESP Header Format



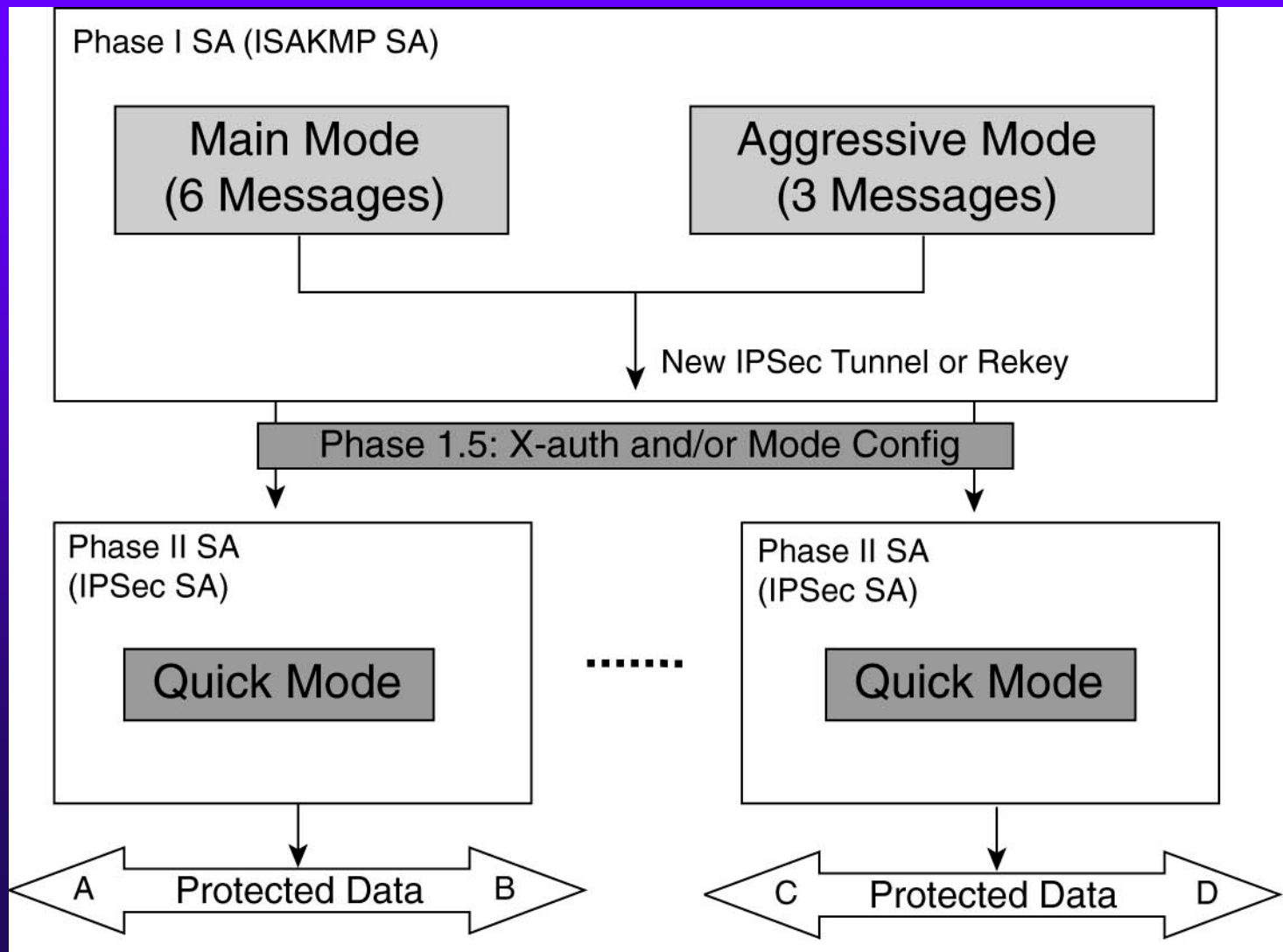
A large, ornate metal key with a circular bow and a notched bit, resting on a textured, yellowish-brown surface.

										1										2										3									
0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9	0	1	2	3	4	5	6	7	8	9
Next Header										Payload Len										RESERVED																			
Sequence Parameters Index (SPI)																																							
Sequence Number Field																																							
Authentication Data (Variable)																																							

IKE Enhancements for Remote-Access Client IPsec

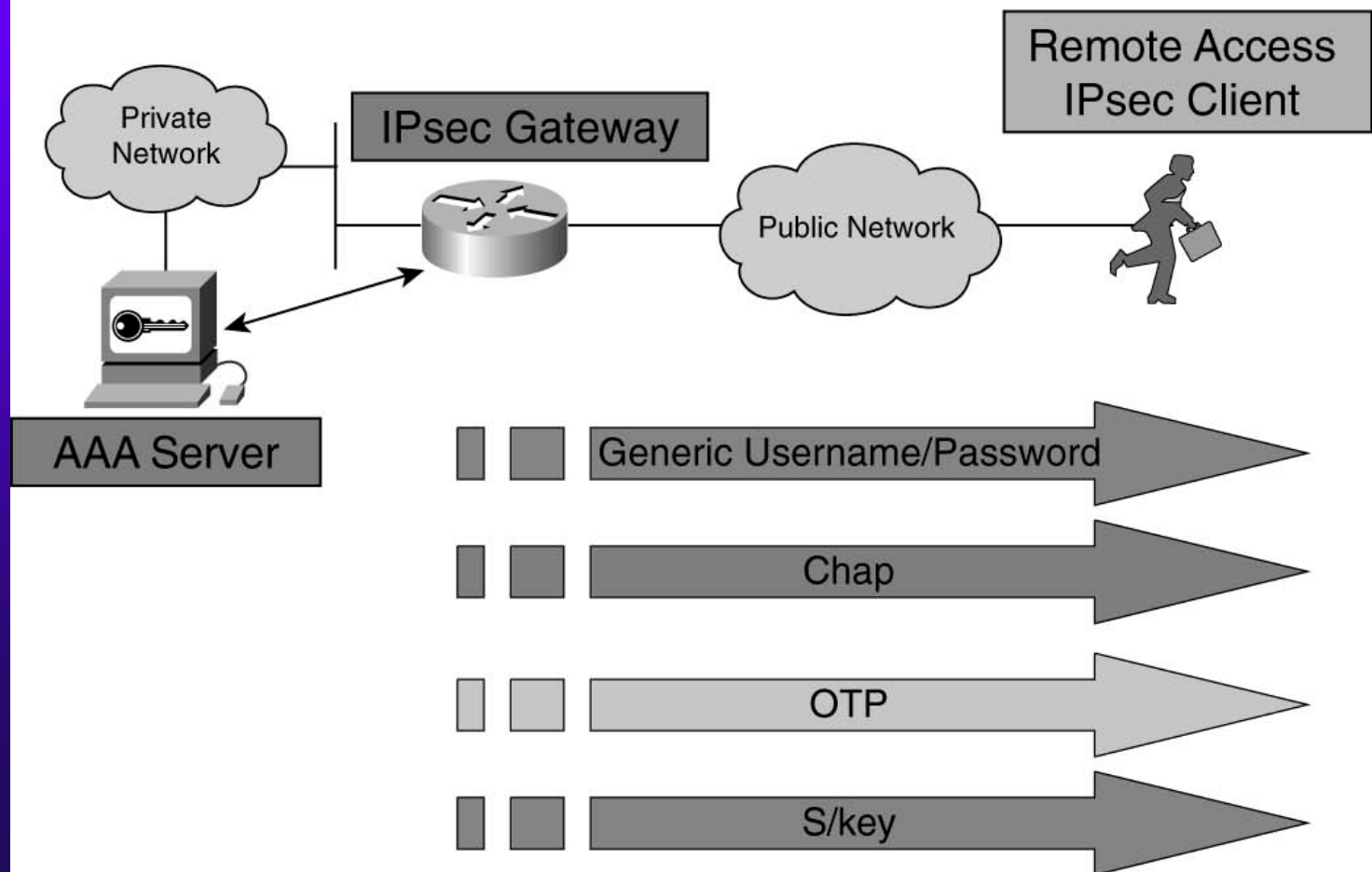


Extended Authentication and Mode Config in IKE



Negotiation of X-Auth During IKE Negotiation

Mechanism Used to Perform Per User Authentication for RA Clients



Start of X-Auth with Exchange of Attribute Payloads Using ISAKMP Messages

Type =
 ISAKMP_CFG_REQUEST = 1
 or
 ISAKMP_CFG_REPLY = 2
 or
 ISAKMP_CFG_SET = 3
 or
 ISAKMP_CFG_ACK = 4

ISAKMP HEADER		
Hash	Reserved = 0	Attributes Payload Length
Type = 1	Reserved = 0	Identifier
Attributes (0 Length Attributes in Request)		
0	0	Hash Payload Length
Hash		

Hash
 =
 Prf (SKEYID_a,
 ISAKMP Header M-ID |
 Attribute Payload)

Initiator
 IPsec Gateway

Attribute Request

Responder
 IPsec Client

Attribute Reply

ISAKMP HEADER		
Hash	Reserved = 0	Attributes Payload Length
Type = 2	Reserved = 0	Identifier
Attributes (Set to the Values Requested or XAUTH_STATUS = FAIL)		
0	0	Hash Payload Length
Hash		

Attributes =

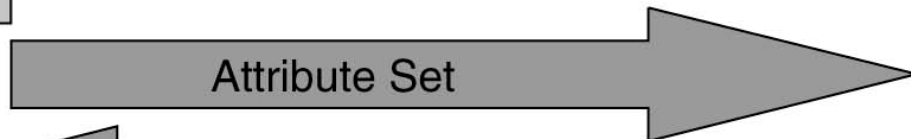
XAUTH_TYPE	16520
XAUTH_USER_NAME	16521
XAUTH_USER_PASSWORD	16522
XAUTH_PASSCODE	16523
XAUTH_MESSAGE	16524
XAUTH_CHALLENGE	16525
XAUTH_DOMAIN	16526
XAUTH_STATUS	16527

Completion of X-Auth with Exchange of Attribute Payloads Using ISAKMP Messages

Type =
ISAKMP_CFG_REQUEST = 1
or
ISAKMP_CFG_REPLY = 2
or
ISAKMP_CFG_SET = 3
or
ISAKMP_CFG_ACK = 4

ISAKMP HEADER		
Hash	Reserved = 0	Attributes Payload Length
Type = 3	Reserved = 0	Identifier
Attributes (X-auth_Status = OK or FAIL)		
0	0	Hash Payload Length
Hash		

Initiator
IPsec Gateway



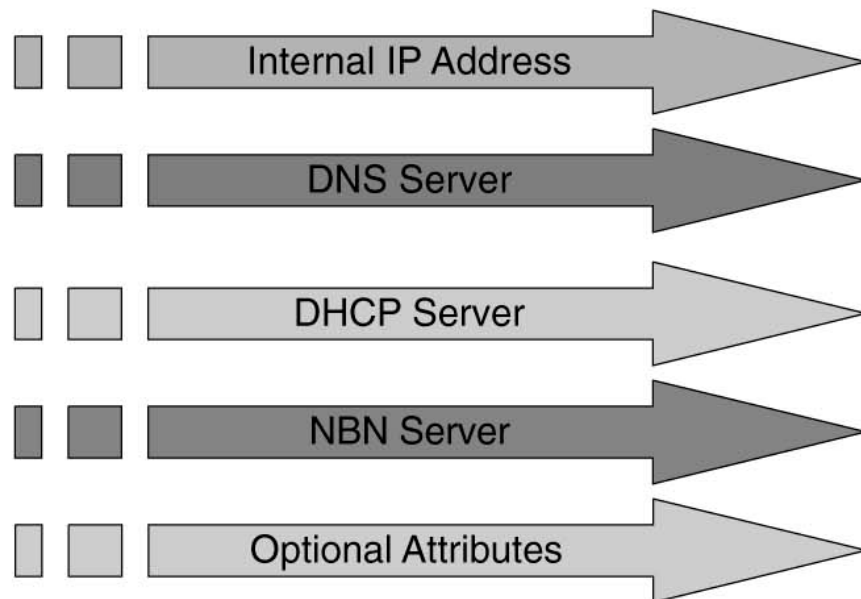
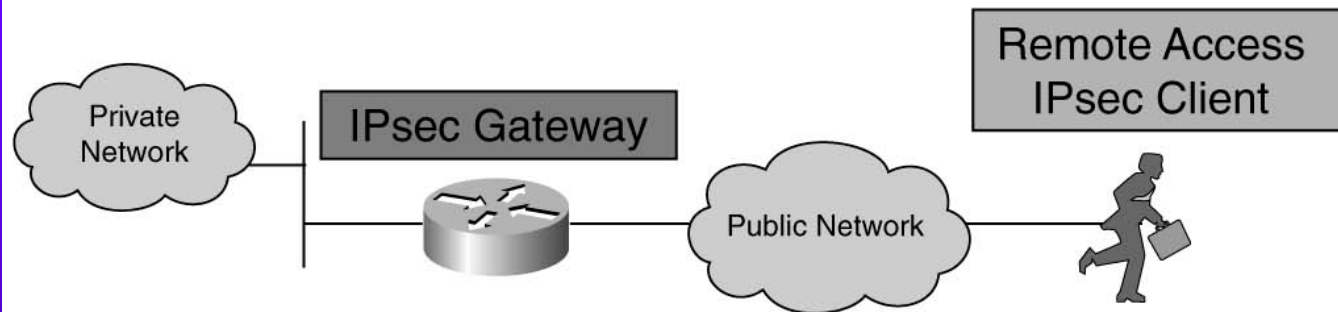
Responder
IPsec Client



ISAKMP HEADER		
Hash	Reserved = 0	Attributes Payload Length
Type = 4	Reserved = 0	Identifier
Attributes (None Included)		
0	0	Hash Payload Length
Hash		

Mode Configuration During IKE Negotiation

Mechanism Used to Push Attributes to Remote Access IPsec Clients



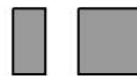
Exchanging Attribute Payloads Between the Gateway and the IPsec Client

Type =
 ISAKMP_CFG_REQUEST = 1
 or
 ISAKMP_CFG_REPLY = 2
 or
 ISAKMP_CFG_SET = 3
 or
 ISAKMP_CFG_ACK = 4

ISAKMP Header		
Next Payload	Reserved = 0	Attributes Payload Length
Type = 1	Reserved = 0	Identifier
Attributes (0 Length Attributes in Request)		
0	0	Hash Payload Length
Hash		

Hash
 =
 Prf (SKEYID_a,
 ISAKMP Header M-ID |
 Attribute Payload)

Initiator
 Remote Client



Attribute Request

Responder
 IPsec Gateway



Attribute Reply

ISAKMP Header		
Next Payload	Reserved = 0	Attributes Payload Length
Type = 2	Reserved = 0	Identifier
Attributes (Set to the Values for One or More of the Attributes to Pushed)		
0	0	Hash Payload Length
Hash		

Attributes =

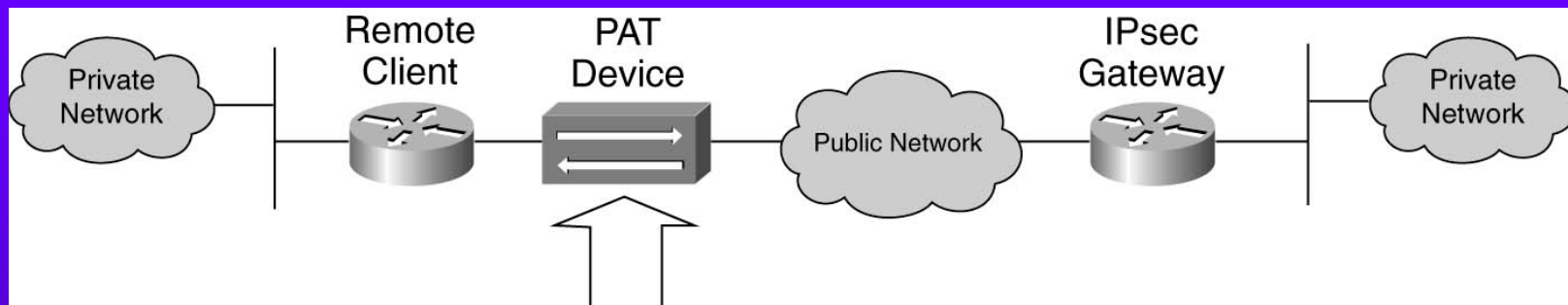
INTERNAL_IP4_ADDRESS	1
INTERNAL_IP4_NETMASK	2
INTERNAL_IP4_DNS	3
INTERNAL_IP4_NBNS	4
INTERNAL_IP4_ADDRESS_EXPIRY	5
INTERNAL_IP4_DHCP	6

Other Allowed but not Mandatory

NAT Transparency



Tagging on a UDP Header to Traverse PAT



Port Address Translation Fails Since in ESP Packets L4 Port Info is Encrypted

